

REQUEST FOR TRADING IN NORTHBOUND CHINA CONNECT SHARES

CLIENT NAME (Please underline surname)

[illegible]**JOINT ACCOUNT HOLDER NAME (Please underline surname)**[illegible]**MAIN ACCOUNT HOLDER'S NRIC / PASSPORT NO. / CO. REG. NO.**[illegible]**JOINT ACCOUNT HOLDER'S NRIC / PASSPORT NO.**[illegible]

LEGAL ENTITY IDENTIFIER (LEI) NO.

[illegible]**PLACE OF INCORPORATION**[illegible]**TRADING ACCOUNT NUMBER / TR CODE**

1	2	3	4	5	6
1	2	3	4	5	6
1	2	3	4	5	6

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1	2
1	2
1	2

I/We wish to trade in Northbound China Connect Shares. In order to trade in such shares, I/we acknowledge and agree that it is necessary to tag each of my/our orders submitted to the China Stock Connect System with a Broker-to-Client Assigned Number (BCAN) and to provide to the Hong Kong Exchanges and Clearing Limited (the 'Exchange') my/our assigned BCAN and such identification information relating to me/us as the Exchange may request from time to time under its rules.

I/We acknowledge and agree to UOB Kay Hian Pte Ltd to process my/our personal data, use or transfer my/our identification information to the Exchange and the relevant subsidiaries of The Stock Exchange of Hong Kong Limited as set out in the 'Personal Information Collection Statement Concerning Northbound China Connect Orders'. This document can be viewed on <http://www.uobkh.com.sg>. A printed copy can also be made available upon request.

Applicable to Financial Institution

We hereby declare that the main account and all sub accounts of our institution are opened for the following purpose: (Please tick only ONE)

☐ Funds ☐ Proprietary Trading

Dated this _____ day of _____ 20____.

Note: For Joint Accounts, both Account Holders are required to sign.

Signature of Main Account Holder
Name:

Signature of Joint Account Holder
Name:

Authorised Signature with Company Stamp Affixed
Name of Authorised Signatory:
Name of Company:

Authorised Signature with Company Stamp Affixed
Name of Authorised Signatory:
Name of Company:

For Office Use Only

☐ IMA (F) - 3
☐ No IMA - 4

Signature Verified By / Date

Data Entry By / Date

Checked By / Date