

UOB KAY HIAN PRIVATE LIMITED

83 Clemenceau Avenue #10-01 UE Square Singapore 239920
Tel: 6535 6868 Fax: 6532 6919
Website: www.uobkh.com.sg Business Registration: 197000447W

UOBKayHian

*For **Joint Account**, only the main account holder is required to make the submission as the contact details follow that of the main account holder. If the secondary account holder also has a change in particulars, a separate form will be required.

*Reminder: For Clients with CDP account, kindly notify CDP of your changes.

UPDATE OF PARTICULARS (INDIVIDUAL/JOINT)

1 CLIENT INFORMATION *(As in our records)*

Name: _____ Date Of Birth: (DD/MM/YYYY) _____

NRIC / Malaysian IC / Passport No.: _____ Passport Expiry: (DD/MM/YYYY) _____

2 UPDATE OF PARTICULARS *(Please only fill where applicable)*

New Name: *(As in NRIC/ Malaysian IC/ Passport)*

NRIC / Malaysian IC / Passport No.: _____ Singapore Permanent Resident: ☐ Yes ☐ No

Nationality: _____

Multiple Nationalities: ☐ No ☐ Yes, please provide a copy of your other passport(s), list all nationalities: _____

Residential Address: *(As per supporting document, see overleaf - section 7)*

Postal Code: _____ City / State: _____ Country: _____

Mailing Address: *(Only complete if different from Residential Address)*

Postal Code: _____ City / State: _____ Country: _____

Reason for use of different Mailing Address: _____

Mobile No.: + () _____ Home No.: + () _____

Email Address: _____

3 UPDATE OF EMPLOYMENT DETAILS

Employment Status: ☐ Employed ☐ Self-Employed (Sole Proprietor/ Freelance) ☐ Self-Employed (Business Owner)
☐ Key Controller (CEO/CFO/COO/MD) ☐ Unemployed ☐ Housewife ☐ Student ☐ Retired

Name of Company/Institution: _____

Nature of Business: _____ Role and Title: _____
(E.g. Manufacturing / Healthcare / Finance / Education) *(E.g. Research Analyst, Vice President)*

Office Address/Institution: _____

Postal Code: _____ City / State: _____ Country: _____

Kindly provide reason if Country of Employment and Country of Residence differs:

4 OTHERS

Annual Income

☐ S\$50,000 & below ☐ S\$50,001 - \$100,000 ☐ S\$100,001 - \$300,000 ☐ S\$300,001 - \$500,000
☐ S\$500,001 - \$700,000 ☐ S\$700,001 and above, please state amount: _____

Net Worth *(Excluding residences and retirement assets)*

☐ S\$100,000 & Below ☐ S\$100,001 - \$500,000 ☐ S\$500,001 - \$1,000,000 ☐ S\$1,000,001 - \$5,000,000
☐ S\$5,000,001 - \$10,000,000 ☐ S\$10,000,001 and above, please state amount: _____

Source of Wealth *(Refer to the origin of your total assets)*

*Please select all that applies

☐ Employment ☐ Business Income ☐ Rental ☐ Sales of Investment ☐ Inheritance / Gifts

☐ Others, please specify: _____

Source of Funds *(Refer to the origin of the funds or other assets which are the subject of the requested transactions)*

*Please select all that applies

☐ Employment ☐ Business Income ☐ Rental ☐ Sales of Investment ☐ Inheritance / Gifts

☐ Others, please specify: _____

5 TAX RESIDENCY INFORMATION (Mandatory, please complete)

*All U.S. persons must provide a completed Form W-9, available at <https://www.irs.gov/businesses/corporations/fatca-related-forms>.

*All non-U.S. persons with U.S. details must provide a completed Form W-8BEN, available at <https://www.irs.gov/businesses/corporations/fatca-related-forms>.

Reason A: I am resident of a country/jurisdiction which does not issue TIN to its residents.

Reason B: I am otherwise unable to obtain TIN or equivalent number. (Please explain why you are unable to obtain a TIN if you have selected this reason.)

Reason C: TIN is not required (to be selected only if the law of the country/ jurisdiction of tax residence indicated does not require TIN to be collected.)

Please complete the following table indicating ALL Countries where you are a tax resident:

	Country	TIN or equivalent	If TIN unavailable, please indicate Reason A, B, C	If Reason B, please provide explanation
Tax Residency 1				
Tax Residency 2				

6 DECLARATION AND AGREEMENT

1. I hereby authorize and consent to the update of the above information to my trading account(s) maintained with UOBKH.

2. I hereby represent and warrant and undertake to UOBKH that all my particulars and information given or to be given from time to time to UOBKH are and will be true and accurate in form and substance, any changes thereto will be notified to UOBKH promptly and I have not willfully withheld any material facts.

3. I hereby acknowledge and consent to UOBKH collecting, processing and disclosing any and all such information on me to such persons as UOBKH in its sole and absolute discretion deems it to be necessary or required by applicable laws and regulations.

4. I am fully aware of the risks (e.g. not receiving any correspondence promptly, not being able to detect discrepancies, trading errors or unauthorized transactions for the account(s)) involved in updating PO Box address/My Mail Box address as mailing address. I agree and acknowledge that any correspondence addressed to me, sent to the PO Box address/My Mail Box address is deemed to have been sent to me and received by me. I hereby acknowledge and accept the risks involved and agree not to hold UOBKH responsible for any loss that I may suffer as a result of late receipt of any correspondence sent to me.

5. I am aware that any updates made to the contact details will be automatically applied to all my Individual Account and Joint Account(s) (where applicable) for which I am the Main Applicant.

Signature of Account Holder

DDMMYY

7 SUPPORTING DOCUMENTS REQUIRED

To Update	Supporting Documents Required		
	Singaporean / Singapore PR	Malaysian	Other Nationality
Name	i) Singapore NRIC / Singapore PR NRIC; and ii) Deed Poll (or equivalent), required only if CDP-linked.	i) Malaysia NRIC/Passport; and ii) Deed Poll (or equivalent)	i) Passport (with the same passport number); and ii) Deed Poll (or equivalent)
IC / Passport Number	Singapore NRIC/ Singapore PR NRIC	Malaysia NRIC or Passport	Passport
Country of Citizenship	Singapore NRIC	Malaysia NRIC or Passport	i) Passport and ii) Renunciation Letter
Singapore PR Status	Singapore PR NRIC		
Mailing Address	Any one of the following documents that contains the new Mailing address: i) Singapore PR NRIC; or ii) Malaysia NRIC; or iii) Utility Bills / Telco Bills / Bank Statement / Statement from Government Body (e.g. IRAS / CPF) dated within last 3 months)		
Residential Address	Singapore NRIC / Singapore PR NRIC only	i) Malaysia NRIC or ii) Utility Bills / Telco Bills / Bank Statement / Statement from Government Body (e.g. IRAS / CPF) dated within last 3 months	Utility Bills / Telco Bills / Bank Statement / Statement from Government Body (e.g. IRAS / CPF) dated within last 3 months
For Office Use Only			
Signature Witnessed / Verified By & Date:		Input By & Date:	
Reviewed By & Date:		Input Checked By & Date:	